## ME Regid PCT/PTO 06 DEC 2005

FORM PTO-1390 U.S. DE (REV 10-94)	PARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER	TO THE UNITED STATES	10921.371USWO
DESIGNATED/ELECTE		
CONCERNING A FILIN	G UNDER 35 U.S.C. 371	
		Unknown 10/559497
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP2004/007261	27 May 2004	13 June 2003
TITLE OF INVENTION		
PROCESS FOR PRODUCING LIGHT-EMITTIN	G DIODE ELEMENT EMITTING WHITE LIGHT	Γ
APPLICANT(S) FOR DO/EO/US		
FUJII		
Applicant herewith submits to the United States De	esignated/Elected Office (DO/EO/US) the following	items and other information:
DA TI's 's EIDOT a basissis a 6'Assas sans		
<ol> <li>[X] This is a FIRST submission of items con</li> <li>This is a SECOND or SUBSEQUE</li> </ol>	NT submission of items concerning a filing under 3	5 U.S.C. 371.
3. [X] This express request to begin national exa	amination procedures (35 U.S.C. 371(f)) at any time	rather than delay
	plicable time limit set in 35 U.S.C. 371(b) and PCT Preliminary Examination was made by the 19th mon	
4. [ ] A proper Demand for International F	reminiary Examination was made by the 19th mon	in from the carriest claimed priority date.
5. [X] A copy of the International Application a		
<ul> <li>a. [X] is transmitted herewith (required</li> <li>b. [ ] has been transmitted by the Inte</li> </ul>	d only if not transmitted by the International Bureau	<i>).</i>
	cation was filed in the United States Receiving Office	ce (RO/US)
6. [X] A translation of the International Applica	tion into English (35 U.S.C. 371(c)(2)).	
7. [X] Amendments to the claims of the Internat	ional Application under PCT Article 19 (35 U.S.C.	371(c)(3))
	equired only if not transmitted by the International E	Bureau).
b. [ ] have been transmitted by the c. [ ] have not been made; however, the contract of the co	ne international Bureau. ver, the time limit for making such amendments has	NOT expired.
d. [X] have not been made and will no	- · ·	·
8. [ ] A translation of the amendments to t	he claims under PCT Article 19 (35 U.S.C. 371(c)(3	3)).
9. [X] A signed oath or declaration of the invent	tor(s) (35 U.S.C. 371 (c)(4)).	
10. [ ] A translation of the annexes to the In (35 U.S.C. 371(c)(5)).	nternational Preliminary Examination Report under	PCT Article 36
Items 11. to 16. below concern document(s) or in 11. [X] An Information Disclosure Statement und	nformation included: der 37 CFR 1.97 and 1.98, Form 1449, 6 references.	
12. [X] An assignment document for recording. A	A separate cover sheet in compliance with 37 CFR 3	.28 and 3.31 is included.
[X] A FIRST preliminary amendment.     [ ] A SECOND of SUBSEQUENT prel	liminary amendment.	
14. [ ] A substitute specification.		
15. [ ] A change of power of attorney and/o	or address letter.	
16. [X] Other items or information: International PCT/ISA/210, Form PCT/IB/304, 10 Sheets of Form	Publication Page, Verification of Translation, Apprmal Drawings	lication Data Sheet, Form PCT Request, Form

			<del>`</del>			ATTORNEY'S DOCKET NUMBER		
U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  INTERNATIONAL APPLICATION NO.								
Unknown 10/559497 PCT/JP2004/007261				10921.371USWO				
RASIC NATION	AL, F	EE (37 CFR 1.4	492(a) (1)-(5	(II):				
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): [X] a) Basic National fee\$300.00			\$300.00					
[X] b) Examination fee\$200.00					\$200.00			
[X] c) Search fee	-				\$500.00	\$500.00		
[A] b) someth teethininininininininininininininininininin								
			TOTAL	OF ABOVE CALC	ULATIONS =	\$1000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding								
				ed in an electronic mediur				
\$250 for each	additio	onal 50 sheets o	f paper or fr	action thereof.			_	
Total Sheets	Е	xtra Sheets	Number o	of each additional 50 or	Rate			
			fraction thereof (round up to a					
			`	whole number				
32 -100 =	0	/50 =			X \$250.00	\$0.00		
Surcharge of \$130	0.00 fo	r furnishing the	oath or decl	aration later than [] 20 [	] 30	\$0		
months from the e	arliest	claimed priority	y date (37 Cl	FR 1.492(e)).	-			
CLAIMS	CLAIMS NUMBER FILED NUMBER EXTRA RATE				RATE			
Total claims		4	-20 =	0	X \$50.00	\$0.00		
Independent clain		2	-3 =		X \$200.00	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00					\$			
TOTAL OF ABOVE CALCULATIONS =					\$1000.00			
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed								
pursuant to 37 CFR 1.27			<b>\$</b>					
SUBTOTAL =					\$1000.00			
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30								
months from the earliest claimed priority date (37 CFR 1.492(f).			\$					
TOTAL NATIONAL FEE =					\$1000.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be								
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$40.00					
TOTAL FEES ENCLOSED =			\$1040.00					
			Amount to be:					
					refunded	<del> </del>		
					charged	\$		
a. [X] Check(s	) in the	amount of \$10	00.00 and \$4	40.00 to cover the above	fees is enclosed.			

b. [ ] Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas P. Mueller

Hamre, Schumann, Mueller & Larson, P.C.

P.O. Box 2902-0902

Minneapolis, MN 55402

SIGNATURE:

NAME: Douglas P (Nueller

**REGISTRATION NUMBER: 30,300** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TO 3 DEC 2005

Applicant:

FUJII

Docket:

10921.371USWO

Title:

PROCESS FOR PRODUCING LIGHT-EMITTING DIODE ELEMENT EMITTING

WHITE LIGHT

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV775294585US

Date of Deposit: 6 DECEMBER 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name: Yuhge

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 18 pgs; Claims 3 pgs; Abstract 1 pg. The fee has been calculated as shown below in the 'Claims as Filed' table.
- 10 sheets of formal drawings
- A signed Combined Declaration and Power of Attorney
- Assignment of the invention to ROHM CO., LTD., Recordation Form Cover Sheet
- Checks in the amount of \$1000.00 to cover the Filing Fee and \$40.00 to cover the Assignment Recording Fee
- Information Disclosure Statement, Form 1449, 6 reference(s).
- Application Data Sheet, 3 pages.
- Other: International Publication Page, Copy of PCT/JP2004/007261 in Japanese, Verification of Translation, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/304, Preliminary Amendment, Form PTO-1390
- Return postcard

## **CLAIMS AS FILED**

Number of Claims Filed	No.	In Excess of	Extra	Rate		Fee
" Total Claims	4	20	0	50.00	=	0.00
. Independent Claims	2	3	0	200.00	=	0.00
Multiple Dependent Claims Fee					=	0.00
Basic Filing Fee					=	300.00
Search Fee					=	500.00
Examination Fee		:			=	200.00
Utility Application Size Fee	32	100	0	250.00	=	0.00
	•					
Total					=	\$1000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

By:

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Douglas P. Mueller

Reg. No.:\30,300 Initials: DPM/hjm

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)